



PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

215

Application Number	10/564,340
Filing Date	11 January 2006
First Named Inventor	GODWIN, Antony Robert
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	POLYT 9381 WO-US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):  Declaration, executed; Return receipt postcard; IDS references (Refs 4-14; 199 pages)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Bell & Associates	
Signature		
Printed name	Matthew Kaser	
Date	14th February 2006	Reg. No. 44,817

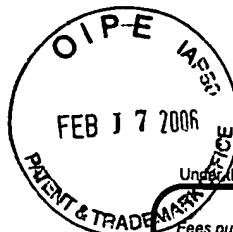
### CERTIFICATE OF TRANSMISSION/MAILING

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Signature			
Typed or printed name	Matthew Kaser	Date	14th February 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEB 17 2006

PTO/SB/17 (01-06)  
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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL For FY 2006

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	130.00
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### Complete if Known

Application Number	10/564,340
Filing Date	11 January 2006
First Named Inventor	Godwin, Antony Robert
Examiner Name	TBA
Art Unit	TBA
Attorney Docket No.	POLYT 9381 WO-US

### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 50-3194 Deposit Account Name: Bell & Associates

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments  
 under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)  
 Each independent claim over 3 (including Reissues)  
 Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Small Entity	Fee (\$)	Fee (\$)
- 20 or HP =	x	=			50	25
HP = highest number of total claims paid for, if greater than 20.					200	100
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)		360	180
- 3 or HP =	x	=				
HP = highest number of independent claims paid for, if greater than 3.						

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		=

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Filing Surcharge, Oath or Declaration

Fees Paid (\$)

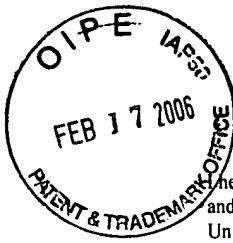
130.00

#### SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 44,817	Telephone (510) 537-2040
Name (Print/Type)	Matthew Kaser		Date 14th February 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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on 14<sup>th</sup> February 2006  
Printed: Matthew R. Kaser

Signed: 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Phase Application under 35 USC § 371 of:

GODWIN, Antony, Robert; PEDONE, Elisa; CHOI, Ji-Won; SHAUNAK, Sunil, and BROCCCHINI, Stephen, James

Title: Conjugated Biological Molecules and their Preparation

US Serial No. :	10/564,340
US Filing date:	11 January 2006
International application number:	PCT/GB2004/002994
International Filing Date:	12 July 2004
Priority application number:	GB0316294.8
Priority date:	11 July 2003

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To:

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Enclosed:

- Return receipt postcard
- Transmittal form (1 page)
- Fee Transmittal form (1 page, **in duplicate**)
- Transmittal cover letter (2 pages)
- Declaration, executed (6 pages)
- Powers of Attorney, executed (5 pages)
- Cover letter for Information Disclosure Statement (1 page)
- Information Disclosure Statement (2 pages)
- Set of Publications (IDS References 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, & 14; 199 pages)

Applicants herewith submit an executed Inventors' Declaration and executed Powers of Attorney. The commissioner is hereby authorized to charge the sum of \$130 as late filing surcharge (large entity) for an executed declaration to **Bell & Associates Deposit Account No. 50-3194**.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Bell & Associates Deposit Account No. 50-3194.

Respectfully submitted,



Matthew Kaser, D.Phil.

Reg. No. 44,817

Bell & Associates,  
416 Funston Avenue, Suite 100,  
San Francisco, California 94118  
Tel: (510) 537-2040  
Fax: (415) 276-6040  
[mkaser@bell-iplaw.com](mailto:mkaser@bell-iplaw.com)



Attorney Docket No. POLYT 9381 WO US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : GODWIN, Antony Robert, et al.

Title: CONJUGATED BIOLOGICAL MOLECULES AND THEIR PREPARATION

Serial No.: 10/564,340

Filing Date: 11<sup>th</sup> January, 2006  
371(c) Date: Unknown

Examiner: TBA

Group Art Unit: TBA

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P.O. Box 1450  
Alexandria, VA 22313-1450

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97  
and §1.98

Dear Sir:

Applicant herewith submits an Information Disclosure Statement (IDS) under the provisions of 37 CFR § 1.97 (b)(1) and § 1.98 in the above-referenced utility patent application. Applicant herewith includes eleven (11) copies of publications cited in Form 1449 (Reference 4, Reference 5, Reference 6, Reference 7, Reference 8, Reference 9, Reference 10, Reference 11, Reference 12, Reference 13, and Reference 14).

Applicant believes that, since this IDS has been filed at the US PTO prior to a first Office action on the merits of the claimed invention, no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to debit the fee from Bell & Associates Deposit Account No. 50-3194.

Respectfully submitted,

Date: 14<sup>th</sup> February, 2006 By: \_\_\_\_\_

Matthew R. Kaser, D.Phil.

Registration No. 44,817

Direct Telephone: (510) 537-2040

Bell & Associates  
416 Funston Ave., Ste 100  
SAN FRANCISCO CA 94118



PTO/SB/08A (07-05)

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	10/564,340
		Filing Date	11 January 2006
		First Named Inventor	GODWIN, Antony Robert
		Art Unit	TBA
		Examiner Name	TBA
Sheet	1	of	2
		Attorney Docket Number	
		POLYT 9381 WO US	

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

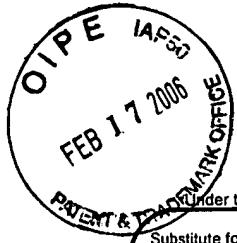
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	4	PCT/US95/07555	12-21-1995	Kohno et al.	Entire document	
	5	PCT/Us99/05333	09-16-1999	Harris et al.	Entire document	
	6	PCT/US99/09161	11-04-1999	El Tayar et al.	Entire document	
	7	PCT/KR00/00775	01-25-2001	Lee et al.	Entire document	
	8	PCT/US00/24232	03-15-2001	Broccolini et al.	Entire document	
	9	PCT/GB00/03456	03-15-2001	Broccolini et al.	Entire document	

Examiner Signature		Date Considered	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				
Sheet	2	of	2	Attorney Docket Number
				POLYT 9381 WO US

<b>NON PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	10	WILBUR, D.S., et al.; Bioconjugate Chem. 1994 pp. 220-235, vol. 5		
	11	ROBERTS, M.J., et al.; Advanced Drug Delivery Reviews 2002 pp. 459-476, vol. 54		
	12	del ROSARIO, R.B., et al.; Bioconjugate Chem. 1990 pp. 51-59, vol. 1		
	13	Liberatore, F.A., et al.; Bioconjugate Chem. 1990 pp. 36-50, vol. 1		
	14	BROCCHINI, S.J. et al.; J. AM. Chem. Soc. 1988 pp. 5211-5212, vol. 110		

Examiner Signature	Date Considered
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